

1. Cover Page

Form ADV Part 2B (“Brochure Supplement”)



Azul Fernando Galvez

Galvez Financial, LLC

Firm CRD#: 334391

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February 2025

This brochure supplement provides information about Azul Fernando Galvez (henceforth known as Azul Galvez) that supplements the Galvez Financial LLC brochure. You should have received a copy of that brochure. Please contact Azul Galvez if you did not receive Galvez Financial LLC's brochure or if you have any questions about the contents of this supplement.

The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission (the “SEC”) or by any state securities authority. Additional information about Azul Galvez or Galvez Financial LLC is also available on the SEC's website at www.adviserinfo.sec.gov.

You may also request a copy of our Brochure by contacting us at (415) 385-1484 or by sending an e-mail to azul@galvezfinancial.com. Registration of an investment adviser does not imply a particular level of skill or training.



2. Educational Background and Business Experience

Azul Galvez is the founder, CEO, and sole financial consultant for Galvez Financial. He was previously employed by Florida Financial Advisors as a full service financial counselor where he learned various skills such as portfolio management, financial planning, debt management, tax planning, and so on.

He has experience working with individuals with net worth ranging from \$15,000-\$2,000,000 and specializes in developing personalized financial plans. Mr. Galvez holds a B.A. in Business Economics from the University of California at Irvine, CA. Mr. Galvez was born in 1988.

Employment History 2020-2025:

Registered Representative | Trinity Wealth Securities LLC | 08/2024-10/2024

Financial Counselor | Florida Financial Advisors | 02/2024-10/2024

Operations Administrator | Texas Dental and Braces and Kohlers Crossing Dental | 01/2023-02/2024

Account Executive | Medely | 05/2022-12/2023

Account Development Representative | StructionSite | 06/2021-05/2022

Sales Development Representative | Human Interest | 01/2020-05/2022

3. Disciplinary Information

There is no disciplinary information to report.

4. Other Business Activities

Azul Galvez is not actively engaged in any other investment-related business or occupation.

5. Additional Compensation

No persons (other than clients) provide an economic benefit to Azul Galvez for providing advisory services.



6. Supervision

As the sole member and investment adviser representative of Galvez Financial, Azul Galvez is responsible for all aspects of the firm's operations, including investment advice, portfolio management, and compliance. Mr. Galvez is subject to regulatory oversight by the Florida Securities Regulator and the SEC. He adheres to all applicable securities laws and the firm's written compliance policies and procedures.

To ensure compliance, Mr. Galvez regularly reviews client accounts, conducts periodic compliance reviews, participates in continuing education, consults with professionals as needed, conducts an annual compliance review.

7. Requirements for State Registered Advisers

Disclosure of Legal and Disciplinary Events:

Azul Galvez has not been involved in any of the following events that would require disclosure:

- Any award or found liable from an arbitration claim alleging damages in excess of \$2,500 involving:
 - An investment or investment-related business or activity.
 - Fraud, false statements, or omissions.
 - Theft, embezzlement, or other wrongful taking of property.
 - Bribery, forgery, counterfeiting, or extortion.
 - Dishonest, unfair, or unethical practices.
- Any award or found liable in any civil, self-regulatory organization, or administrative proceeding involving any of the above.

Bankruptcy Disclosure:

Mr. Galvez has not been the subject of a bankruptcy petition.