



FACTS

WHAT DOES GALVEZ FINANCIAL LLC DO WITH YOUR INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Address
- Income
- Account Balances and Assets
- Age
- Transaction History
- Credit History and Credit Score

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share client's personal information to run their everyday business. In the section below, we list the reasons financial companies can share their client's personal information; the reasons Galvez Financial chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Galvez Financial share?	Can you limit this sharing?
For our everyday business purposes — such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	No	Yes
For our marketing purposes — to offer our products and services to you	Yes	Yes
For joint marketing with other financial companies	No	Not applicable
For our affiliates' everyday business purposes — information about your transactions and experiences	No	Not applicable
For our affiliates' everyday business purposes — information about your creditworthiness	No	Not applicable
For nonaffiliates to market to you	No	Not applicable

Questions?

Call 415-385-1484 or go to <https://www.galvezfinancial.com>

**Who we are****Who is providing this notice?**

Galvez Financial LLC

What we do**How does Galvez Financial protect my personal information?**

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does Galvez Financial collect my personal information?

We collect your personal information, for example, when you

- Open an account
- Seek advice for your investments
- Deposit money
- Enter into an investment advisory contract
- Tell us about your retirement portfolio or earnings

Why can't I limit all sharing?

Federal law gives you the right to limit only ■sharing for affiliates' everyday business purposes— information about your creditworthiness ■ affiliates from using your information to market to you ■sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing.

Definitions**Affiliates**

Companies related by common ownership or control. They can be financial and nonfinancial companies. ■Not applicable

Non-affiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies. ■Not applicable

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you. ■Not applicable

Other important information